

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

#5A  
S. Sand  
3/24/04

Applicant: Mark E. REDDING et al.  
Title: LICENSE MANAGEMENT SYSTEM AND METHOD WITH LICENSE  
BALANCING  
Appln. No. 09/648,853  
Filing Date: August 25, 2000  
Examiner: Douglas B Blair  
Art Unit: 2142

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MAR 22 2004

Technology Center 2100

**AMENDMENT AND REPLY UNDER 37 CFR 1.111**

Commissioner for Patents  
P.O. Box 1450  
Alexandria, Virginia 22313-1450

Sir:

This communication is responsive to the Office Action dated December 16, 2003,  
concerning the above-referenced patent application.

The amendments presented below are in compliance with the revised amendment format  
permitted in the Notice from the Office of Patent Legal Administration of the U.S. Patent and  
Trademark Office dated February 10, 2003. Thus, the provisions of 37 CFR 1.121(a), (b), (c)  
and (d) are waived for amendments made in this application to the claims.

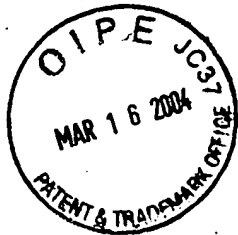
**Amendments to the Claims** are reflected in the listing of claims which begins on page 2  
of this document.

**Remarks/Arguments** begin on page 12 of this document.

Please amend the application as follows:

03/19/2004 HVUON61 00000088 500872 09648853

01 FC:1202 72.00 DA



3-18-04

2142

Atty. Dkt. No. 230074-0228

## IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant: Mark E. REDDING, et al.

Title: LICENSE MANAGEMENT  
SYSTEM AND METHOD WITH  
LICENSE BALANCING

Appl. No.: 09/648,853

Filing Date: August 25, 2000

Examiner: Douglas B. Blair

Art Unit: 2142

CERTIFICATE OF EXPRESS MAILING	
I hereby certify that this correspondence is being deposited with the United States Postal Service's "Express Mail Post Office To Addressee" service under 37 C.F.R. § 1.10 on the date indicated below and is addressed to: Commissioner for Patents, PO Box 1450, Alexandria, Virginia 22313-1450.	
EL 977159478 US (Express Mail Label Number)	March 16, 2004 (Date of Deposit)
Ruthie Vallejo (Printed Name)	
 (Signature)	

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AMENDMENT TRANSMITTALCommissioner for Patents  
PO Box 1450  
Alexandria, Virginia 22313-1450

Sir:

Transmitted herewith is an amendment in the above-identified application.

☐ Small Entity status under 37 C.F.R. § 1.9 and § 1.27 has been established by a previous assertion of Small Entity status.

☐ Assertion of Small Entity status is enclosed.

☒ The fee required for additional claims is calculated below:

	Claims As Amended		Previously Paid For		Extra Claims Present		Rate		Additional Claims Fee
Total Claims:	33	-	29	=	4	x	\$18.00	=	\$72.00
Independents:	2	-	2	=	0	x	\$86.00	=	\$0.00
First presentation of any Multiple Dependent Claims:						+	\$290.00	=	\$0.00
							0		
CLAIMS FEE TOTAL									= \$72.00

- ☐ Applicant hereby petitions for an extension of time under 37 C.F.R. §1.136(a) for the total number of months checked below:

<input type="checkbox"/>	Extension for response filed within the first month:	\$110.00	\$0.00
<input type="checkbox"/>	Extension for response filed within the second month:	\$420.00	\$0.00
<input type="checkbox"/>	Extension for response filed within the third month:	\$950.00	\$0.00
<input type="checkbox"/>	Extension for response filed within the fourth month:	\$1,480.00	\$0.00
<input type="checkbox"/>	Extension for response filed within the fifth month:	\$2,010.00	\$0.00
EXTENSION FEE TOTAL:			\$0.00
<input type="checkbox"/>	Statutory Disclaimer Fee under 37 C.F.R. 1.20(d):	\$110.00	\$0.00
CLAIMS, EXTENSION AND DISCLAIMER FEE TOTAL:			\$72.00
<input type="checkbox"/>	Small Entity Fees Apply (subtract ½ of above):		\$0.00
TOTAL FEE:			\$72.00

- ☒ Please charge Deposit Account No. 50-0872 in the amount of \$72.00. A duplicate copy of this transmittal is enclosed.

- ☐ A check in the amount of \$72.00 is enclosed.

- ☒ The Commissioner is hereby authorized to charge any additional fees which may be required regarding this application under 37 C.F.R. §§ 1.16-1.17, or credit any overpayment, to Deposit Account No. 50-0872. Should no proper payment be enclosed herewith, as by a check being in the wrong amount, unsigned, post-dated, otherwise improper or informal or even entirely missing, the Commissioner is authorized to charge the unpaid amount to Deposit Account No. 50-0872. If any extensions of time are needed for timely acceptance of papers submitted herewith, applicant hereby petitions for such extension under 37 C.F.R. §1.136 and authorizes payment of any such extensions fees to Deposit Account No. 50-0872.

Please direct all correspondence to the undersigned attorney or agent at the address indicated below.

Date March 16, 2004

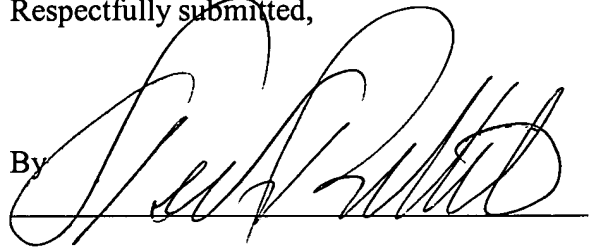
FOLEY & LARDNER LLP

Customer Number: 23392

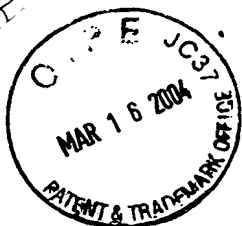
Telephone: (310) 975-7963

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Respectfully submitted,

By 

Ted R. Rittmaster  
Attorney for Applicant  
Registration No. 32,933



IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Attorney Docket No. 230074-0228

Applicant: Mark E. REDDING, et al.  
Title: LICENSE MANAGEMENT SYSTEM  
AND METHOD WITH LICENSE  
BALANCING  
Appl. No.: 09/648,853<sup>720</sup>  
Filing Date: August 25, 2000  
Examiner: Douglas B. Blair  
Art Unit: 2142

**CERTIFICATE OF MAILING**

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Commissioner for Patents  
P.O. Box 1450  
Alexandria, Virginia 22313-1450

I hereby certify that the following paper(s) and/or fee along with any attachments referred to or identified as being attached or enclosed are being deposited with the United States Postal Service as Express Mail under 37 C.F.R. § 1.10 (EL 977159478 US) on the date of deposit shown below with sufficient postage and in an envelope addressed to the Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

1. Amendment Transmittal Letter (3 pages);
2. Amendment and Reply to Official Action dated December 16, 2003 (15 pages).

Respectfully submitted,

Date March 16, 2004

By Ted Rittmaster

FOLEY & LARDNER LLP  
Customer Number: 23392  
Telephone: (310) 277-2223  
Facsimile: (310) 557-8475

Ted Rittmaster  
Attorney for Applicant  
Registration No. 32,933